

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: July 30, 2001

ATTY DOCKET NO.
2001-1079SERIAL NO.
NEWAPPLICANT
Yutaka WADA et al.FILING DATE
July 30, 2001

GROUP

 11002 U.S. PTO
 09/916305
 07/30/01

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SLM	AA	5,976,204	11/1999	Hammarstrom et al.			
	AB	6,220,944B1	4/2001	Chen			
	AC	5,885,134	3/1999	Shibata et al.			
	AD	6,123,603	8/2000	Tada et al.			
	AE	6,099,393	8/2000	Katagiri et al.			
	AF	5,993,298	11/1999	Duescher			
	AG	5,690,705	11/1997	Holmes et al.			
	AH	5,307,593	5/1994	Lucker et al.			
	AI	4,010,583	3/1977	Highberg			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	56-52183 ✓	5/1981	JP			X
	AK	9-47969 ✓	2/1997	JP			X
	AL	10-329031 ✓	12/1998	JP			X
	AM	10-134316 ✓	5/1998	JP			X
SLM	AN	5-4171 ✓	1/1993	JP			X

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

SLM	AO	U.S. Patent Application 09/301,718, filed April 29, 1999, Noburu SHIMIZU et al., "METHOD AND APPARATUS FOR POLISHING WORKPIECE".
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	AQ	

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Shantese McDonald

DATE CONSIDERED

4/20/03

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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
2001-1079SERIAL NO.
NEWLIST OF REFERENCES CITED BY APPLICANT(S)
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Yutaka WADA et al.

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SLM	AA	5,658,194	8/1997	Micheletti			
	AB	6,099,394	8/2000	James et al.			
	AC	6,121,143	9/2000	Messner et al.			
	AD	5,135,892	8/1997	Ellison-Hayashi et al.			
	AE	5,897,426	4/1999	Somekh			
	AF	3,841,031	10/1974	Walsh			
	AG	5,972,162	10/1999	Cesna			
	AH	4,445,300	5/1984	Sekiya et al.			
	AI	5,503,592	5/1996	Neumann			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ	11-198045	7/1999	JP			X
	AK	9-232257	9/1997	JP			abstract
	AL	4-354676	12/1992	JP			abstract
	AM	5-285850	11/1993	JP			abstract
SLM	AN	5-309566	11/1993	JP			abstract

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*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SLM	AA	6,050,880	4/2000	Kato et al.			
	AB	6,074,277	6/2000	Arai			
	AC	6,152,806	11/2000	Nystrom			
SLM	AD	6,062,958	5/2000	Wright et al.			
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
SLM	AJ	1-140967	6/1989	JP			abstract
	AK	58-143948	8/1983	JP			abstract
SLM	AL	0123456	1/2000	EP			
	AM						
	AN						

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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DATE CONSIDERED

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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
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Date Submitted to PTO: August 27, 2001

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09/916,305APPLICANT
Yutaka WADA et al.FILING DATE
July 30, 2001GROUP
3723

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
SLM	AJ	56-52183	5/1981	JP			X
	AK	9-47969	2/1997	JP			X
	AL	10-329031	12/1998	JP			X
	AM	10-134316	5/1998	JP			X
	AN	5-4171	1/1993	JP			X
	AO	11-198045	7/1999	JP			X
SLA	AP	9-174428 ✓	7/1997	JP			X

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

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Shantese McDaniel

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U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
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Date Submitted to PTO: December 10, 2001

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09/916,305APPLICANT
Yutaka WADA et al.FILING DATE
July 30, 2001GROUP
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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
OLPE DEC 10 2001 PATENT & TRADEMARK OFFICE	AA	6,180,020	1/2001	Moriyama et al.			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
	AJ						
	AK						
	AL						
	AM						
	AN						

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

SLM	AO	U.S. Patent Application Serial No. 09/560,562, filed April 28, 2000, (Kazuto HIROKAWA et al.).
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Sheet 1 of 1

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)

Date Submitted to PTO: April 11, 2002

ATTY DOCKET NO.
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09/918,305APPLICANT
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July 30, 2001GROUP
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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
SLA	AA	5,782,675	1/1998	Southwick			
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AJ							
	AK							
	AL							
	AM							
	AN							

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4/22/03

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.